



10 August 2017

## Pension to Super transfer form

Please use this form to request a partial or full account transfer from your IOOF Pursuit Allocated Pension account (Pension account) to a new or existing IOOF Pursuit Personal Superannuation account (Super account).

Before you sign this form, you must read the current Product Disclosure Statement (PDS) for the relevant IOOF Pursuit Personal Superannuation product. It is recommended that you seek financial advice from a financial adviser before transferring any of your Pension account balance to a new or existing Super account.

### Important information (for clients establishing a new Super account only):

- Your personal details, including any financial adviser details, tax file number, nominated bank account details, electronic communications instructions and those instructions listed below will be transferred from your current Pension account to your new Super account.
- Your personal information will be managed as set out in the IOOF privacy policy (available at [www.ioof.com.au/privacy](http://www.ioof.com.au/privacy)), which contains information about how you may access and seek correction of your personal information as well as how you can make a complaint about a breach of your privacy.
- **Investment instructions** – instructions relating to investment options, income distributions and cash account top-up will be transferred to your new Super account.
- **Death Benefit Nomination** – any existing Binding or Non-Binding Death Benefit Nomination on your current account will be transferred to your new Super account. Any existing Reversionary Beneficiary nominations cannot be transferred to the new Super account, a new Binding or Non-Binding Death Benefit Nomination form will need to be completed. If no nomination is received, death benefits will be paid to your estate.
- **Member advice fees** – current percentage based member advice fees, as agreed with your financial adviser, will be transferred to your new account. Any dollar based fees will be retained on your Pension account, unless you are requesting a full transfer. If you require any changes to member advice fees on the new Super account or existing Pension account you will need to complete and sign the Member advice fees form and attach it to this IOOF Pension to Super transfer form.

**Important note:** Flat dollar fees will be transferred to your new Super account only when requesting a full transfer.

- **Member Advice Fee – Upfront** will not be charged on the transfer to your new Super account.
- **Fee aggregation**

Full transfer – current fee aggregation arrangements will be transferred to your new Super account.

**Important note:** Fee Aggregation exclusions may apply to some accounts with historical grandfathering arrangements relating to administration fees.

Partial transfer – please provide further instructions in Step 4.

- **Financial Adviser Authority** – any authority currently in place that permits your financial adviser to operate your account and to give any instructions on your behalf will continue to apply on the terms and conditions outlined in the PDS.

### Step 1: Member details

Title (Dr/Mr/Mrs/Ms/Miss)	<input type="text"/>	Surname	<input type="text"/>
Given name(s)	<input type="text"/>		
Date of birth	<input type="text"/> / <input type="text"/> / <input type="text"/>		
Client number	<input type="text"/>		

## Step 2: Account details

FROM  
Pension account number           -   -

Is this transfer going to an existing Super account?

Yes, please provide your Super account number here:           -   -

No (a new Super account will be established)

If you don't nominate a cash amount to be transferred, we will automatically transfer a cash amount equal to 1% of your Super account balance.

## Step 3: Transfer details

Is this a full transfer  **or** a partial transfer

For a partial transfer, please nominate the investment options you would like to transfer in the table below. The transfer amount will be the market value of these investment options as at the date of transfer.

**Cash** – you must specify a specific dollar amount, equal to at least 1% of your Super account balance.

**Managed investments** – you must specify to transfer 100% or a specific dollar amount for any managed investment holding.

**Listed investments, term deposit and annuities** – you must specify to transfer 100% of your holding.

APIR or ASX Code	Name of investment option(s)	100% or \$ amount
N/A	Cash Account ( <b>mandatory dollar amount, equal to at least 1% of your Super account balance</b> )	\$

## Step 4: Fee Aggregation (for partial transfers only)

Please fee aggregate the new/existing Super account with the existing Pension account or the fee aggregation group the existing Pension account is currently in.

### Important notes:

- A maximum of four accounts are allowed to be linked together for Fee Aggregation purposes.
- If the new Super account causes the existing fee aggregation group to exceed four accounts, this Fee Aggregation election will be invalid and will not be processed. Please submit a new completed and signed Fee Aggregation form to create a new group if you wish to fee aggregate the new Super account.
- Fee Aggregation exclusions may apply to some accounts with historical grandfathering arrangements relating to administration fees.

## Step 5: Declaration and signature

**Note: For the purposes of this application, we only require the signature of the member or adviser.**

### Member declaration

By signing below, I declare that:

- I have read and understood the PDS for the relevant IOOF Pursuit Personal Superannuation product and agree with and acknowledge the terms, declarations and conditions in the PDS and in this form.
- I have read and understood the current product disclosure statement(s) or disclosure documents for the underlying managed investments, term deposits or annuities I have selected to transfer.

- I authorise the Trustee to transfer my personal information and the investment options nominated above from my current Pension account and to apply for an interest in the IOOF Portfolio Service Superannuation Fund. I understand that I will remain a member of the IOOF Portfolio Service Superannuation Fund upon the terms and conditions contained in the Trust Deed dated 20 June 1994 as amended from time to time.
- If I request a partial transfer, my current pension payments will automatically continue and all pension payment details such as minimum/maximum, and terms, will not be re-calculated as a result of this transfer.
- I authorise the Trustee to apply the fees and costs disclosed in the PDS, as relevant, to my new Super account (if applicable).
- I am aware that the fees on my new Super account (if applicable) may be lower or higher than those already payable on my current Pension account.
- I direct the Trustee to apply the same percentage based member advice fees to my new Super account (if applicable), as currently applies to my Pension account.
- I am aware that flat dollar fees will only be transferred where I have requested a full transfer.
- If transferring to an existing Super account, the existing member advice fee arrangement in the Super account will continue. If I am requesting any changes to member advice fees, I have completed a new Member advice fees form and attached it to this form.
- I have read the current PDS and the terms and conditions of Fee Aggregation (if applicable).
- I acknowledge, understand and accept the matters as outlined under **Important information** on page 1 of this form if I am establishing a new Super account.

Member name

Member Signature  Date  /  /

**Adviser declaration**

By signing below I declare that:

- I have received authorisation from my client to apply to transfer some or all of their existing Pension account into the relevant Super account which is subject to this application.
- I have advised my client, and my client is aware, of the implications of transferring their benefit (these implications may include fees, charges and/or tax payable).
- I have provided my client with the relevant disclosure documents and have advised my client of the items outlined under the Member declaration section of this form.
- My client has agreed that the percentage based member advice fee that is paid from their Pension account will also apply to their new Super account (if applicable).
- I have advised my client, and my client is aware, that flat dollar fees will only be transferred where they have requested a full transfer.
- I have obtained my client(s) consent to establish Fee Aggregation (if applicable).
- Each person in the Fee Aggregation group is my client for the purposes of providing financial advice and is a member of the same immediate family (if applicable).
- I understand that a Fee Aggregation request can be rejected and linking can be cancelled at any time by the Trustee (if applicable).
- I understand that any new Fee Aggregation nomination will override any previous nomination (if applicable).
- The terms and conditions of Fee Aggregation have been fully explained to my client (if applicable).
- If my client is transferring to an existing Super account, the existing member advice fee arrangement in the Super account will continue. If any change is required to the member advice fees in the existing Super account, a new Member advice fees form has been completed and attached it to this form.
- I acknowledge, understand and accept the matters as outlined under **Important information** on page 1 of this form if relevant to my client.

Financial adviser name

Financial adviser signature  Date  /  /

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