



Additional Tax Information Form (Companies, Trusts and Other Investors)

A guide to completing this form

- This form is required for any Company/Trust or Other Investor type to provide or confirm:
 - Additional identification information.
 - Foreign Account Tax Compliance Act status (FATCA),
 - Common Reporting Standard (CRS) information, and
 - Details of foreign controlling persons if any.
- An entity can be a company, trust, partnership, association, registered co-operative or government body.
- A separate form for a controlling person is required to be completed.
- A trust can be a regulated or unregulated trust or a corporate trustee.
- Complete one form for each investor type. Complete all applicable sections of this form in BLOCK LETTERS.
- Tax information must be collected from an authorised representative of the Company/Trust or Other Investor type.
- If you need assistance, please contact the Client Services Team on 1800 062 963 (or +613 8614 4400 for international calls).
- Once the form is completed please return it to: **IOOF, Reply Paid 264, Melbourne, VIC 8060**

Step 1: Company/Trust/Other Investor type details

1.1 General Information

Full name of domestic or foreign Company, Trust, or Other Investor (as registered by ASIC or other regulator)	
ACN or other registration number for a company or corporate trustee	
Australian Registered Body Number (ARBN) (if applicable)	
Foreign Registration Number	
Country where Company/Trust or Other Investor is established or formed (if not established in Australia)	

1.2 General information (Company, Trust or Other Investor type)

Registered address (PO Box is not acceptable)

Street

Suburb State Postcode

Country

Principal place of business if any or if different from above (PO Box is not acceptable)

Street

Suburb State Postcode

Country

1.3 For a corporate trustee only

Registered name of corporate trustee

Registered address (PO Box is not acceptable)

Street

Suburb State Postcode

Country

Principal place of business if any or if different from above (PO Box is not acceptable)

Street

Suburb State Postcode

Country

Contact person of the corporate trustee

1.3.(a) Corporate trustee details.

i) Is the Corporate trustee regulated?

Yes. If yes, please specify regulator (such as ASIC, APRA)

Regulator

Licence number

No.

(ii) Please provide details of the director, shareholder, beneficial owner, trustee or beneficiary, etc. below for the corporate trustee.

Full given name(s)	Surname	Role (such as director, shareholder, beneficial owner, trustee or beneficiary, etc. refer * below)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If there are more people, provide details on a separate sheet and tick this box .

(iii) Politically exposed persons (PEP) are individuals who occupy a prominent public position or function in a government body or international organisation, either within or outside Australia. This definition also extends to their immediate family members and close associates. Are any of the trustees or beneficiaries listed above considered a PEP? If so, please provide their full names here.

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>

2.2 Foreign Controlling Persons (individuals)

Are any of the Company/Trust or Other Investors officeholders, directors, beneficiaries, trustees, settlors of a trust, or beneficial owners' Controlling Persons** who are tax residents of countries other than Australia?

Yes No

A Controlling Person is any individual who directly or indirectly exercises control over the entity. For a company, this includes any beneficial owners controlling more than 25% of the shares in the company. For a trust, this includes trustees, settlors or beneficiaries. For a partnership this includes any partners. Tax residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

If Yes, please provide the details of these individuals below and complete a separate an **Additional Tax Information – Controlling Person Information Form** for this individual.

Company, trust and Other Investor types details of directors, officeholders, trustees and other senior managing officials.

Full given name(s)	Surname	Role (such as director, shareholder, beneficial owner, trustee or beneficiary, etc.)

If there are more people, provide details on a separate sheet and tick this box .

2.3 Country of tax residency

Is the Company/ Trust or Other Investor a tax resident of a country other than Australia? Yes No

If Yes, please provide the Company/Trust or Other Investor's country of tax residence and tax identification number (TIN) or equivalent below. If the Company/Trust or Other Investor is a tax resident of more than one other country, please list all relevant countries.

If No, proceed to Section 3 to complete the form.

Tax identification number (TIN)

A TIN is the number assigned by each country for the purposes of administering tax laws such as an employee identification number (EIN) or a social security number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

Country	TIN	Reason for no TIN (A, B or C)#

Reason for not providing a TIN – please select one if no TIN is provided

Reason A – The country of tax residency does not issue TINs to tax residents

Reason B – You have not been issued with a TIN

Reason C – The country of tax residency does not require the TIN to be disclosed

If there are more countries, provide details on a separate sheet and tick this box .

Section 3: Proof of identification and certification of documents

As a guide, the proof of identity requirements for an entity/trust or any other investor type are also included in the 'Completing Proof of Identity' document. The completing proof of identity document also provides a list of persons authorised to certify copies of original documents. To obtain a copy please contact one of our Client Services Team on 1800 062 963 (or +613 8614 4400 for international calls).

Section 4: Applicant declaration

By completing and signing this declaration I certify that:

- The information I have provided is true and correct.
- I will promptly advise IOOF if these details change at any time.
- I certify that I am the named person above or I am authorised to provide information on their behalf (ie if acting as a representative).
- I consent to the collection, use and disclosure of my personal information to IOOF for the purposes specified in this form, the relevant disclosure document and the IOOF Privacy Policy.
- I am aware that information provided about me/us and my/our accounts will be provided to the relevant tax authority within Australia, or internationally.
- I acknowledge that I have received, read and understood the relevant disclosure document.

Company/Trust or Other Investor type declaration (to be completed by an authorised representative of the entity, such as a director or trustee):

Representative name	<input type="text"/>
capacity (company director, trustee, etc.)	<input type="text"/>
Signature	<input type="text"/>
	Date <input type="text"/> / <input type="text"/> / <input type="text"/>

Please sign and return this form to:

Post IOOF, Reply Paid 264, Melbourne, VIC 8060
Email clientservices@ioof.com.au
Telephone 1800 062 963
Facsimile 1800 558 539